APPLICATIONS SHEET

Agreement Express Automated Broker Transitions

Accelerate the growth of your firm's book of business with automated broker transitions and account transfers.

"Best user experience for account transfers I've ever seen. Light years ahead of custodian reports."

–Craig Iskowitz
CEO Ezra Group

Agreement Express empowers firms to accelerate the growth of their books of business with automated broker transitions and account transfers.

The best client transition experience is fast, accurate and seamless. RIAs, broker-dealers, wirehouses, and transitions firms gain the power to transition broker teams in hours, instead of weeks, never having to re-key client data from a broker-protocol spreadsheet ever again.

Automated Transitions in Minutes

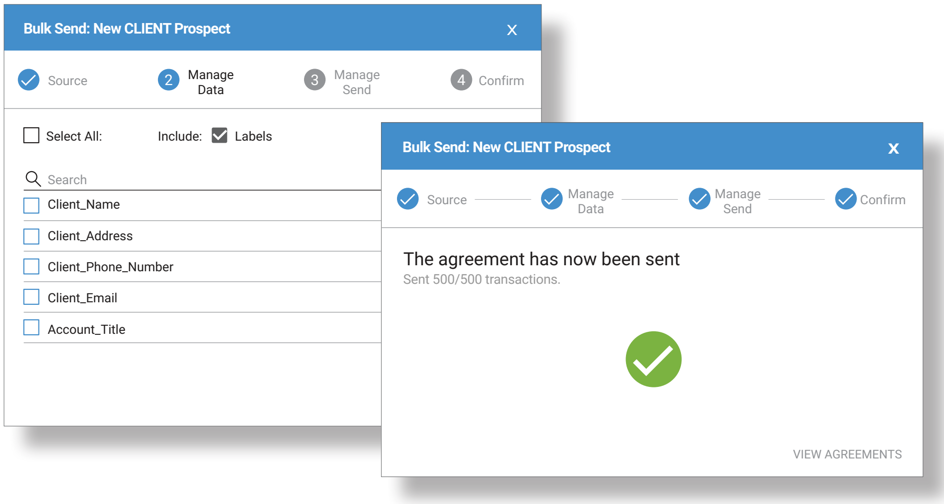
Agreement Express can repaper a complete broker protocol spreadsheet in just a few steps. Simply upload the .csv file, review the data source, add a welcome message to new clients, and electronically send your account transfers on a small or large scale. Subsets of attachments can be added right into the spreadsheet to automatically include marketing materials, terms & conditions, investment profiles, etc. where applicable.

The data from your source file will automatically populate into the fields on your firm's account opening form. From there your digital account packages can be tracked, which helps action and move transfers forward. You'll reduce the not in good order (NIGO) rate and elevate the experience for the client and broker.

The transition period is a highly sensitive time with a great deal on the line:

- Risk of clients opting out
- Possible loss of a client book
- Poor experience for the new broker
- Potential retaliation from current firm

An automated process elevates the experience for both new clients and the incoming broker.



WEALTH MANAGEMENT

APPLICATIONS SHEET

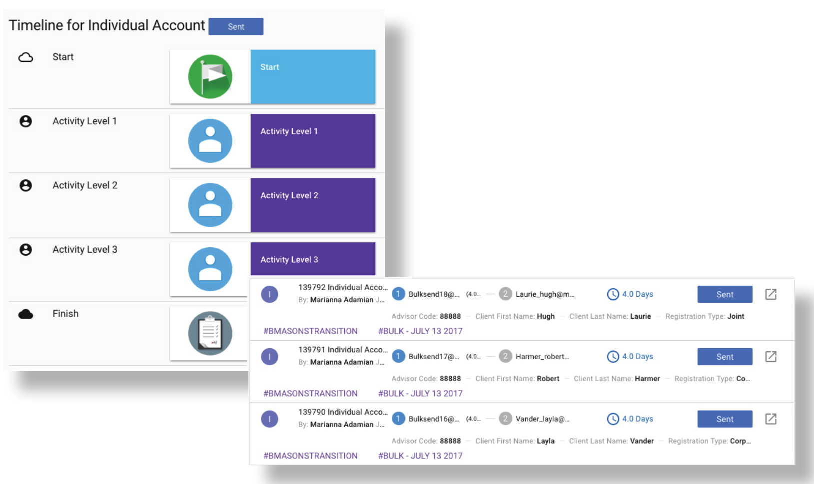
About Agreement Express

Agreement Express is the client onboarding platform transforming the practices of RIAs, broker-dealers, and custodians. In one intuitive interface, Agreement Express provides wealth firms with the ability to offer a white-glove client experience, with a self-service new account opening interface, fully integrated to back-office workflows. On one platform, firms of all sizes are able to digitize proprietary and custodial forms, sync client data to and from CRMs, and automate the workflow of documentation from client to custodian. The result is unprecedented operational scale for firms big and small.

Visibility into the Status of Agreements

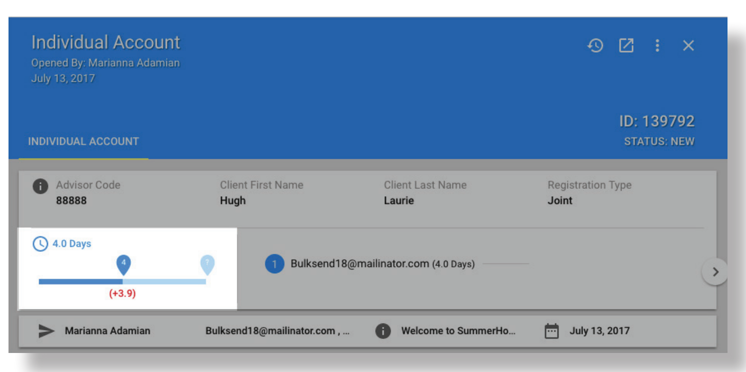
The timing of transitions is critical to a firm; delays from a poor process could make for lost opportunities in acquiring a high performing broker. Worse yet, they could lead to landing the broker but having their clients opt out of the transition during lag time in repapering.

Your Workspace gives you at-a-glance visibility into the status of each client. Tags will automatically group these transactions together, making them easier to sort and review. The Timeline provides an operational view into the quality and speed of mass transfer of clients, where the audit trail of actions from the new client can be revealed by drilling into account opening packages.



Powerful AI Engine Identifies Bottlenecks and Opportunities

Turn insight into action. The Agreement Express intelligent automation engine measures elapsed time of client interactions, with a feedback loop for the firm. Identify bottlenecks and overall speed of transitions, with the ability to gauge where to add resources. As more transitions are processed, the system becomes increasingly precise and the firm makes tremendous gains in efficiency as a result.



Learn more about digitalization at your firm

Visit our website at
agreementexpress.com

or email us at
sales@agreementexpress.com

WEALTH MANAGEMENT